

09/701288

414 Rec'd PCT/PTD

8 NOV 2009

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PHASE OF
PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

To: Hon. Commissioner of Patents (Washington, D.C. 20231)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Atty Dkt: PM 275385 /151227.3/DV/MB
M# /Client Ref.

From: Pillsbury Madison & Sutro LLP, IP Group:

Date: November 28, 2000

This is a **REQUEST** for **FILING** a PCT/USA National Phase Application based on:

- | | | |
|--|---|---|
| 1. International Application
<u>PCT/CH99/00503</u>
<u>↑ country code</u> | 2. International Filing Date
25 OCT 1999
Day MONTH Year | 3. Earliest Priority Date Claimed
25 OCT 1999
Day MONTH Year
(use item 2 if no earlier priority) |
| 4. Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within: | | |
| <p>(a) <input checked="" type="checkbox"/> 20 months from above item 3 date (b) <input type="checkbox"/> 30 months from above item 3 date,</p> <p>(c) Therefore, the due date (<u>unextendable</u>) is <u>June 25, 2001</u></p> | | |
| 5. Title of Invention <u>PAYMENT TRANSACTION METHOD AND PAYMENT TRANSACTION SYSTEM</u> | | |
| 6. Inventor(s) <u>RITTER, Rudolf et al</u> | | |
| Applicant herewith submits the following under 35 U.S.C. 371 to effect filing: | | |
| 7. <input checked="" type="checkbox"/> Please immediately start national examination procedures (35 U.S.C. 371 (f)). | | |
| 8. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if <u>not</u> transmitted to PTO by the International Bureau) including: | | |
| <ul style="list-style-type: none"> a. <input type="checkbox"/> Request; b. <input type="checkbox"/> Abstract; c. <u> </u> pgs. Spec. and Claims; d. <u> </u> sheet(s) Drawing which are <input type="checkbox"/> informal <input type="checkbox"/> formal of size <input type="checkbox"/> A4 <input type="checkbox"/> 11" | | |
| 9. <input checked="" type="checkbox"/> A copy of the International Application has been transmitted by the International Bureau. | | |
| 10. A translation of the International Application into English (35 U.S.C. 371(c)(2)) | | |
| <ul style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith including: (1) <input checked="" type="checkbox"/> Request; (2) <input checked="" type="checkbox"/> Abstract;
 (3) <u> 18 </u> pgs. Spec. and Claims;
 (4) <u> 1 </u> sheet(s) Drawing which are:
 <input type="checkbox"/> informal <input checked="" type="checkbox"/> formal of size <input checked="" type="checkbox"/> A4 <input type="checkbox"/> 11" b. <input type="checkbox"/> is not required, as the application was filed in English. c. <input type="checkbox"/> is not herewith, but <u>will be filed when required</u> by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd. d. <input checked="" type="checkbox"/> Translation verification attached (not required now). | | |

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11. **PLEASE AMEND** the specification before its first line by inserting as a separate paragraph:
- a. --This application is the national phase of international application PCT/CH99/00503 filed October 25, 1999 which designated the U.S.--
- b. --This application also claims the benefit of U.S. Provisional Application No. 60/_____, filed _____.--
12. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., bef re 18th m nth from first priority date ab v in item 3, are transmitted herewith (file only if in English) including:
13. PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau
14. Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of **claim amendments made before 18th month, is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled).**
15. **A declaration of the inventor (35 U.S.C. 371(c)(4))**
 a. is submitted herewith Original Facsimile/Copy
 b. is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
16. **An International Search Report (ISR):**
 a. Was prepared by European Patent Office Japanese Patent Office Other
 b. has been transmitted by the international Bureau to PTO.
 c. copy herewith (__ pg(s).) plus Annex of family members (__ pg(s).).
17. **International Preliminary Examination Report (IPER):**
 a. has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.
 b. copy herewith in English.
 c. 1 IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:
 c. 2 Specification/claim pages # claims #
 Dwg Sheets #
 d. Translation of Annex(es) to IPER (required by 30th month due date, or else annexed amendments will be considered canceled).
18. **Information Disclosure Statement including:**
 a. Attached Form PTO-1449 listing documents
 b. Attached copies of documents listed on Form PTO-1449
 c. A concise explanation of relevance of ISR references is given in the ISR.
19. **Assignment document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.**
20. Copy of Power to IA agent.
21. **Drawings (complete only if 8d or 10a(4) not completed):** sheet(s) per set: 1 set informal; Formal of size A4 11"
22. Small Entity Status is Not claimed is claimed (pre-filing confirmation required)
 22(a) _____ (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)
23. **Priority** is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both filed in the International Application during the international stage based on the filing in (country) Switzerland of:

	<u>Application No.</u>	<u>Filing Date</u>	<u>Application No.</u>	<u>Filing Date</u>
(1)	PCT/CH99/00503	25 OCT 1999	(2)	
(3)			(4)	
(5)			(6)	

- a. See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been received, please proceed promptly to obtain same from the IB.
 b. Copy of Form PCT/IB/304 attached.

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24. Attached: DRAWING CHANGE AUTHORIZATION REQUEST25. Preliminary Amendment: SEE ATTACHED25.5 Per Item 17.c2, cancel original pages #__, claims #__, Drawing Sheets #26. Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:Based on amended claim(s) per above item(s) 12, 14, 17, 25, 25.5 (hilite)

Total Effective Claims	10	minus 20 =	0	x \$18/\$9 =	\$0	966/967
Independent Claims	2	minus 3 =	0	x \$80/\$40 =	\$0	964/965
If any proper (ignore improper) Multiple Dependent claim is present,				add\$270/\$135	+0	968/969

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): →→ BASIC FEE REQUIRED, NOW →→→A. If country code letters in item 1 are not "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"

See item 16 re:

- | | | |
|--|-----------------|---------------------|
| 1. Search Report was <u>not prepared by EPO or JPO</u> ----- | add\$1000/\$500 | 960/961 |
| 2. Search Report was prepared by EPO or JPO ----- | add\$860/\$430 | <u>+860</u> 970/971 |

SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"

- | | | | |
|--|----------------|----|---------|
| → <input type="checkbox"/> B. If <u>USPTO</u> did not issue <u>both</u> International Search Report (ISR) <u>and</u> (if box 4(b) above is X'd) the International Examination Report (IPER), ----- | add\$970/\$485 | +0 | 960/961 |
| (only)
(one)
(of)
(these)
(4)
(boxes) → <input type="checkbox"/> C. If <u>USPTO</u> issued ISR but not IPER (or box 4(a) above is X'd), ----- | add\$710/\$355 | +0 | 958/959 |
| → <input type="checkbox"/> D. If <u>USPTO</u> issued IPER but IPER Sec. V boxes <u>not all</u> 3 YES, ----- | add\$690/\$345 | +0 | 956/957 |
| → <input type="checkbox"/> E. If international preliminary examination fee was paid to <u>USPTO</u> and Rules 492(a)(4) and 496(b) satisfied (IPER Sec. V <u>all</u> 3 boxes YES for <u>all</u> claims), ----- | add \$100/\$50 | +0 | 962/963 |

27. SUBTOTAL = \$86028. If Assignment box 19 above is X'd, add Assignment Recording fee of ----\$40 +40 (581)29. Attached is a check to cover the ----- TOTAL FEES \$900

Our Deposit Account No. 03-3975

Our Order No. 60237

275385

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

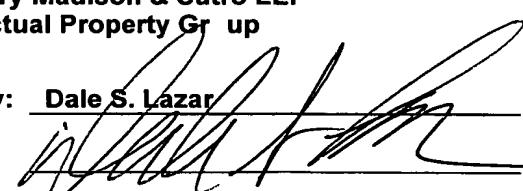
This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed

Pillsbury Madison & Sutro LLP
Int llectual Property Gr up

1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
Atty/Sec: DSL/sdm

By Atty: Dale S. Lazar

R g. No. 28872

Sig: Fax: (202) 822-0944
T I: (202) 861-3527NOTE: File in duplicate with 2 postcard receipts (PAT-103) & attachments.

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#5
S6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

528 Rec'd PCT/PTO 28 NOV 2000

RITTER et al.

Appln. No.: New Application

Examiner: Unassigned

Filed: Herewith

Group Art Unit: Unassigned

TITLE: PAYMENT TRANSACTION
METHOD AND TRANSACTION SYSTEM

November 28, 2000

DRAWING CHANGE AUTHORIZATION REQUEST

Hon. Commissioner of Patents
Washington, D.C. 20231

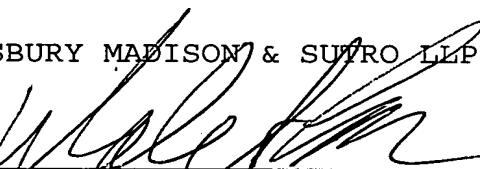
Sir:

Authorization is requested to change Fig. 1 as indicated
on the attached sheets in Red.

Respectfully submitted,

PILLSBURY MADISON & SUTRO LLP

By


Dale S. Lazar
Reg. No.: 28872
Tel. No.: (202) 861-3527
Fax. No.: (202) 822-0944

DSL/sdm
1100 New York Avenue, N.W.
Ninth Floor
Washington, D.C. 20005-3918
(202) 861-3000